## Joint Commission Behavioral Health Accreditation

Mary Cesare-Murphy, Ph.D. Executive Director

#### October 22, 2012 Iowa Behavioral Health Assocation



## The Joint Commission's Vision

"All people experience the safest, highest quality, best-value health care across all settings"



#### Joint Commission Accreditation

Accrediting Health Care organizations since 1951More than 19,000 accredited organizations





#### Joint Commission's Behavioral Health Care Accreditation

Accrediting organizations since 1969

Almost 2000 accredited <u>organizations</u>

Wide Range of settings and services





**The Joint Commission** Accreditation Behavioral Health Care What organizations are accredited by the Joint Commission Behavioral Health Accreditation Program?

- Community-based Mental Health Services
- Substance Abuse Treatment Services
- Services for Children and Youth
- Medication-Assisted Opioid Treatment Programs
- Services for People with Intellectual/Developmental Disabilities



#### Programs / Services Accredited Under Behavioral Health Care Accreditation

- Addiction treatment services
- Case management agencies
- Child Welfare
- Corrections
- Crisis stabilization
- Day programs
- Eating disorders treatment programs
- Family preservation/wraparound
- Forensics services
- Foster care children and youth
- Foster care adult
  - In-home

**The Joint Commission** Accreditation Behavioral Health Care

- Medication Assisted Opioid treatment
- Outdoor programs
- Outpatient programs
- Prevention services
- Rehabilitative/Community Support Services
- Residential/group homes
- Technology-based services
- Therapeutic schools
- Therapeutic foster care
- Transitional/supervised living
- Vocational rehabilitation

## Joint Commission Surveyors

- Experienced behavioral health professionals
- Trained, certified, mentored, and monitored to deliver consistently valuable surveys
- Each surveyor surveys an average of 15 times per year
- Sharing good practices with you and your staff
- Helping organizations in their commitment to provide safe and high quality care, treatment & services





## "The Balancing Act"

#### Evaluator and Educator Consultant





The Joint Commission Accreditation Behavioral Health Care

Joint Commission Behavioral Health Standards Development

Standards represent a national consensus
 Expert panels provide feedback

- Professional and Technical Advisory Committee
- Advisory Councils
- Web-based field review of standards



## **Accreditation Requirements**

#### **Guidance for Good Practices**







#### **Standards**

Standards are:

General performance expectations

General structure or process

Goal





How standards are evaluated:

- Specific performance expectation
- Specific structure/process
- Objectives
- Scored during the On-Site Survey





#### **Standard Scoring**

Elements of Performance are scored

An organization is either in compliance or not in compliance with a standard





**Standards Applicability** 

Applicability grid

Lead in statements

Electronic sorting



#### **Standards Applicability Process**

- Common Standards apply to all agencies
- Specific Program/Setting Standards differ from program/setting to program/setting
- Specific Population/Service Standards differ from population to population
- Medication Management Standards are specific to each agency's level of involvement



Additional Behavioral Standards for Specific Populations

- Children and Youth
- Individuals with Intellectual and/or Developmental Disabilities
- Individuals receiving Addiction Treatment or Services
- Opioid Treatment Programs
- Individuals with eating disorders
- Transitional services for young adults

The Joint Commission Accreditation Behavioral Health Care

# Examples of Applicability of Standards/Elements of Performance

- Common: Leaders provide sufficient number and mix of staff to support safe, quality care, treatment or services.
- Setting Specific In 24 hour settings, the organization accommodates the right of the individual to pastoral and other spiritual services.



Examples of Applicability of Standards/Elements of Performance

Service Specific: Foster Care only: the agency defines and uses criteria to identify prospective foster care parent(s).

# Service Specific: Opioid Treatment Program only: Concurrent abuse of other drugs is managed.

The Joint Commission Accreditation Behavioral Health Care

## **Required Written Documentation**

- Documentation icon D also found next to all Elements of Performance that require written documentation
- This icon appears in all versions of the Accreditation Manual (print, on-line, standards-only spiral-bound)
  - RWD Chapter in CAMBHC



## **Accreditation Manual**

Print Online



© Copyright, The Joint Commission

**The Joint Commission** Accreditation Behavioral Health Care

## Online Version of the Behavioral Health Care Accreditation Manual ("E-dition")

Agency inputs programs/service(s) profile

Software sorts applicable accreditation requirements based on input



Customized manual results



## The Joint Commission On-Site Survey Process





Shortest survey is one surveyor for two days

Surveys can be multiple surveyors for multiple days

Demonstrate compliance at time of survey (no track record)



**The Joint Commission** Accreditation Behavioral Health Care The Opening Session: Survey Process

The survey process explained
Agenda varies by agency
Adjustments made if required



#### **Opening Session:**

#### **Orientation to the Organization**

- A discussion that provides an opportunity for the surveyor to learn from you about your agency
  - What you do
  - Who you serve
  - Your staff
  - Your philosophy and values
  - How you are organized

#### Ensures a survey appropriate to your agency



## On-site Survey Process Tracer Methodology

- Traces the continuum of care, treatment or services provided
- Usually at least 60% of the on-site survey
- Directly involves staff who provide care, treatment or services (observation and interviews)
- Follows care, treatment or services provided throughout the organization
- Individual served/family is involved <u>as appropriate</u>
- Samples from all programs/services operated by organization

**The Joint Commission** Accreditation Behavioral Health Care

## **Environment of Care Session**

Reviews the processes that are in place to ensure the safety and security of the individuals served and staff

Proactive assessment of vulnerabilities

- Planning
- Testing and conducting drills
- Annual review of plans



## **Building Tour**



24 hour residencesLife Safety Code

- Locked
- Lodging or Rooming Houses – 4 to 16 occupants
- Hotel and Dormitories

- 17 + occupants

- Environment preserves dignity
- Food Storage and dining
- Medication Storage

#### **Data Session**

- Discussion of how your agency uses data
- Identification of data to be collected
- Turning data into information aggregation and analysis
- Use of the data for change
- Performance Improvement Teams
- Annual review of plan



The Joint Commission Accreditation Behavioral Health Care

### **Competence Assessment Session**



Reviews processes the agency follows to ensure that they have sufficient, competent staff

- Selection
- Verification of education and licensure
- Orientation and training
- Competence assessment
- Performance evaluation



#### **Sessions Scheduled When Applicable**

- Medication Management
  - Reviews the medication processes from ordering to administration
  - Only aspects relevant to organization

#### Infection Control

Reviews processes for preventing and responding to infection

- In light of vulnerabilities in the agency



## Leadership Session

**Discussion with leaders** 

Last day of survey

Based on observations during the survey

An opportunity for the leaders and surveyor to discuss how the leaders may be able to use the surveyors observations constructively

Joint Commission editation Behavioral Health Care

# Joint Commission Accreditation So, What does it cost?



The Joint Commission Accreditation Behavioral Health Care

#### **Joint Commission Accreditation Fees**

- All inclusive no hidden costs
- Competitive with other accrediting bodies
- Calculation formula for organizations accredited under the Behavioral Health Care Accreditation program is different from other accreditation programs



#### **Joint Commission Accreditation Fees**

- Based on a combination of an annual fee and an on-site survey fee
- 3-year accreditation cycle
- Year One -- Approximately 60% of the total 3-year accreditation fees are due (annual fee plus on-site survey fee)
- Next two years -- Approximately 20% of the total 3 year accreditation fees are due (annual fee only)



## **Joint Commission Annual Fee**

- Determined annually
- Invoiced every January
- Based on programs/services provided, volume, and number of physical locations of care, treatment or services
- Covers all accreditation costs excluding on-site survey costs
  - Prorated based on the quarter in which an initial application is submitted


- Development and maintenance of state-of-the-art standards (in consultation with experts, providers, practitioners, and researchers)
- Development and maintenance of National Safety Goals relevant to Behavioral Health Care
  - Secure extranet site at www.jointcommission.org
  - **Dedicated Account Executive**



- Standards Interpretation Group support (Joint Commission engineers, clinical social worker, behavioral health care advanced nurse practitioner)
- Accreditation Manuals
  - Print Edition
  - Access to Electronic Edition
  - Two annual updates





© Copyright, The Joint Commission

- Periodic Performance Review tool and consultation
- Perspectives (monthly official newsletter)
- BHC News (quarterly newsletter)
- Sentinel Event Alerts
- Discounts on Joint Commission Resources' Educational Programs
- Access to Leading Practice Library





- Providing the public and behavioral health care professionals with information on accredited agencies (Quality Check)
- Permission to use/display the Joint Commission's Seal of Approval to publicize the organization's achievement





#### Joint Commission On-site Survey Fee

- On-site survey expenses only (surveyor(s)' travel, lodging and maintenance)
- Based on the number of surveyors and number of survey days
- Invoiced after initial on-site survey
- Invoiced every 3 years
  - **Note:** Number of surveyor days is based on programs/services provided, volume, and number of physical locations of care, treatment or services.



### **Example: Smaller Organization**

- Typically, single service or program (eg. one outpatient counseling center), low volume
- Estimated Annual fee = \$1,820
- Estimated On-site survey fee = \$2,890



## **Example: Medium Organization**

 Typically, two to three services/ programs (outpatient counseling, group home, day treatment program), low to medium volumes,
 6 or less physical sites, all less than 60 miles from main site

- Estimated Annual fee = \$3,615
- Estimated On-site survey fee = \$3,675



# **Example: Large Organization**

Typically, 4 to 6 services/programs (outpatient counseling, group homes, day treatment program), medium volume, 6 physical sites with some over 60 miles from the main site

Estimated Annual fee = \$9,990

Estimated On-site survey fee = \$12,595



#### **Deposit with Application for Accreditation**

#### \$1700

- Non-Refundable and Non-Transferable
- Applied to accreditation fees until the deposit is exhausted



# Accreditation Resources Available from The Joint Commission



The Joint Commission Accreditation Behavioral Health Care

#### Accreditation Resources Available from The Joint Commission

- 2. Your own Joint Commission Account Executive, who will:
  - Help you to fill out your application
  - Schedule and coordinate your initial on-site survey
  - Case manager on all accreditation matters

e Joint Commission

Accreditation Behavioral Health Care



Preparing for Joint Commission Accreditation

Use Available Support

Complimentary Webinars
 Standards Interpretation Group
 Orientation to Manuala (print/alastr/







48

Copyright, The Joint Commissio

#### Accreditation Resources Available from The Joint Commission

 Assistance from accreditation requirements experts (Standards Interpretation Group) Answer questions about any of the accreditation requirements





Copyright, The Joint Commissior

#### Joint Commission Accreditation: What will it do for your organization

- Demonstrates your organization's commitment to quality and safety
- Provides an outline for leadership
- Supports a culture of excellence
- Integrates data use into daily operations



Joint Commission Accreditation: What will it do for your organization (continued)

- Recognized by referral/funding/contracting sources
- Supports board members in meeting their fiduciary responsibilities
- Helps meet state authority or payor requirements
- May reduce liability insurance premiums



#### Preparing for Joint Commission Accreditation

- . Obtain the accreditation requirements by contacting Evelyn Choi at 630-792-5866 or echoi@jointcommission.org
- 2. Review the accreditation requirements
- Identify requirements that are applicable to your organization's programs / services
- 4. Conduct an analysis to identify areas that are not in compliance
- Make necessary changes to be ready for the on-site survey

